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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/232,212	01/19/1999	JEFFREY ALLEN JONES	AT9-98-567	2081

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EXAMINER

WON, YOUNG N

ART UNIT PAPER NUMBER

2155

DATE MAILED: 05/23/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/232,212

Applicant(s)

JONES ET AL.

Examiner

Young N Won

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) 14 is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 January 1999 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____ 6) ☐ Other: .

Conclusion

1. Claims 1-16 have been examined.

Drawings

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference sign(s) not mentioned in the description: Fig.1 no.33. A proposed drawing correction, corrected drawings, or amendment to the specification to add the reference sign(s) in the description, are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

Specification

3. Claim 14 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim 14 on page 19 reiterates a part of claim 13 on pages 18 & 19, which it also depends on.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claim 8 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 8 recites the limitation "said message" in lines 9 and 10 on page 17 of the specification. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

5. Claims 1-16 are rejected under 35 U.S.C. 102(e) as being anticipated by Grate et al. (US Pat.No.5956483).

As per claim 1, Grate teaches a method of communication over the Internet in which data is transmitted over Internet connections from an Internet processor to Internet servers, the improvement of displaying to the user (see col.1 line 19: consumer, col.3 line 38: client, and col.3 line 41: Web user) of said Internet processor, before any

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data is transmitted over said Internet connection, an indication of the information to be transmitted (see col.1 lines 50-53 and col.3 lines 14 & 24-28), and allowing said user to cancel the transmission after displaying said indication (since Grate teaches of an standard Web browser, it would be inherent to cancel the transmission simply by closing the browser).

As per claim 2, Grate further teaches of displaying (see col.9 lines 1-2) to said user, before any information is transmitted over said Internet, the address of the Internet server to which the information is to be transmitted and thereafter allowing the user of said Internet processor to cancel the transmission (see col.1 lines 64-65).

As per claim 3, Grate further teaches wherein said indication is a display setting forth the information to be transmitted (see col.1 lines 58-62).

As per claim 4, Grate teaches a method of communicating; between an Internet processor and Internet servers over the Internet wherein information is transmitted from said Internet processor to said Internet servers, the improvement of displaying to the user (see col.1 line 19: consumer, col.3 line 38: client, and col.3 line 41: Web user) of said Internet processor, before transmitting information over an Internet connection to an Internet server, the Internet address of the Internet server to which the information is to be transmitted (see col.1 lines 64-65), and allowing the user of said Internet processor to cancel the transmission before information is transmitted (since Grate teaches of an standard Web browser, it would be inherent to cancel the transmission simply by closing the browser).

As per claim 5, Grate further teaches wherein said user is allowed to cancel the transmission by displaying a menu page (see col.8 lines 45-47) to the user in which said user can make a selection of canceling the transmission or transmitting (see col.7 Table 1: ProcessOrder) the information (see col.8 lines 47-51).

As per claim 6, Grate further teaches wherein said user is allowed to select parts of the information to be transmitted and to cancel the transmission of parts of the information ready to be sent (see col.1 lines 51-53).

As per claim 7, Grate teaches a method of controlling information transmitted from an Internet processor over an Internet connection (see Abstract: Web users to securely engage in commerce with on-line merchants over the Internet) comprising, displaying a message to the user (see col.1 line 19: consumer, col.3 line 38: client, and col.3 line 41: Web user) of said Internet processor whenever information is about to be transmitted over the Internet connection to an Internet server (see col.1 lines 50-53, col.3 lines 14 & 24-28) said message setting forth the Internet address (see col.1 lines 64-65) of the server to which information is to be transmitted, then canceling the transmission or continuing with the transmission (see col.7 Table 1: ProcessOrder) to said Internet server at the option of the user after said message is displayed to said user (see col.8 lines 47-51).

As per claim 8, Grate further teaches further comprising displaying in said message an indication of said information to be transmitted (see col.1 lines 50-53, col.3 lines 14 & 24-28, and col.9 lines 14-15).

As per claim 9, Grate further teaches wherein said indication sets forth the information about to be transmitted (see col.1 lines 58-62).

As per claim 10, Grate teaches of an Internet processor comprising means to make Internet connections to Internet servers over the Internet (see Abstract: Web users to securely engage in commerce with on-line merchants over the Internet), means to transmit information over said Internet connections to said Internet servers (see col.3 lines 39-42), means to display a message indicating the Internet address of the Internet server to which information is about to be transmitted when a transmission of information is about to occur (see col.1 lines 64-65), and means permitting a user to cancel a transmission after said message is displayed and before information is transmitted (since Grate teaches of an standard Web browser, it would be inherent to cancel the transmission simply by closing the browser).

As per claim 11, Grate further teaches wherein the message displayed (see col.9 lines 1-2) by said means to display a message also contains an indication of the information about to be transmitted (see col.1 lines 50-53, col.3 lines 14 & 24-28, and col.9 lines 14-15).

As per claim 12, Grate further teaches wherein said indication sets forth information (see col.1 lines 58-62).

As per claim 13, Grate teaches of a computer program product (see col.2 lines 42-44) in a computer-readable medium for providing control over information transmitted from an Internet processor over the Internet: means to establish Internet connections over the Internet between said Internet processor and Internet servers (see

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col.3 lines 39-42) wherein said Internet processor can transmit information over said Internet connection (see Abstract: Web users to securely engage in commerce with on-line merchants over the Internet), means operative when information is about to be transmitted over an Internet connection to display a message before the information is transmitted, said message including an indication of the information about to be transmitted (see col.1 lines 50-53, col.3 lines 14 & 24-28, and col.9 lines 14-15), and means permitting said user to cancel the transmission after said message is displayed and before the information is transmitted (see col.8 lines 47-51).

As per claim 14, Grate further teaches wherein said indication is statement setting information about to be transmitted (see col.1 lines 58-62).

As per claim 15, Grate further teaches wherein said message further includes the Internet address of the Internet server to which information is about to be transmitted (see col.1 lines 64-65).

As per claim 16, Grate teaches of a computer program product (see col.2 lines 42-44) in a computer-readable medium for providing control over information transmitted from an Internet processor over the Internet: means to establish Internet connections over the Internet (see Abstract: Web users to securely engage in commerce with on-line merchants over the Internet) between said Internet processor and Internet servers (see col.3 lines 39-42) wherein said Internet processor can transmit information over said Internet connection, means operative when information is about to be transmitted over an Internet connection to display (see col.9 lines 1-2) a message before the information is transmitted (see col.1 lines 50-53, col.3 lines 14 & 24-28, and

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col.9 lines 14-15), said message including an indication of the address of the Internet server to which information is about to be transmitted (see col.1 lines 64-65), and means permitting said user to cancel the transmission after said message is displayed and before the information is transmitted (since Grate teaches of an standard Web browser, it would be inherent to cancel the transmission simply by closing the browser).

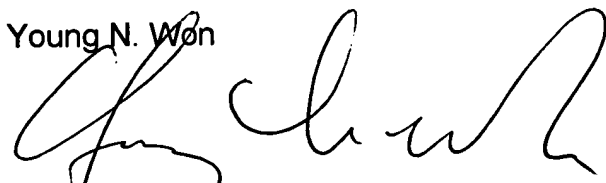
Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Young N Won whose telephone number is 703-605-4241. The examiner can normally be reached on M-F: 8AM-4PM.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R Sheikh can be reached on 703-305-9648. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-3718 for regular communications and 703-305-5352 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Young N. Won



May 19, 2002


AYAZ SHEIKH
SUPERVISORY PATENT EXAMINER
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